



RISK ANALYSIS WORKSHEET

Unit/Division/Institute Name: University Library

WORKSHEET 11

Inherent Risk:
Moderate
Assessed Risk:
Moderate

Risk description: Non-compliance puts University finance and reputation at risk
Copyright/licensing provisions constrain or reduce support of online initiatives.

Risk category: Political/Legal

Related objective: Quality assurance and improvement.
Improving the information technology environment.

Possible causes/risk factors:

- Changes in legislation.
- Inadequate or inappropriate training across University
- Ethical and moral constraints.
- Insufficient funds to pay for copyright material.
- Poor communication.
- International scrutiny (teaching overseas).
- Automated monitoring by publishers for infringements.

Possible effects:

- Student dissatisfaction with course content (quantity or quality of resources).
- Limits value of eResearch Repository
- Copyright costs increase.
- Compliance costs increase.
- Staff infringe copyright.
- Staff dissatisfaction at bureaucratic impediment to teaching and research.

INHERENT RISK SCORE (assuming no controls)	Consequence C	Likelihood L	Total* C+L	Total Score:
Revenue, cost or liability	3	4	7	2 – 5 = Low 6 = Moderate 7 = High 8 – 10 = High+
People				
Reputation and Political	4	3	7	
Project performance				

*when scored on more than 1 row, select the highest total as the score

Current controls/mitigating factors:

- Member of company for all Australian universities that pays copyright fees.
- Referral to Legal Officer/Copyright Officer.
- Consortial agreements for purchase of access (CAUL).
- Centralised digitalisation (DRMC).
- Reliance on Part VB of Copyright Act via AVCC.
- Education/information sessions for staff.
- University website on copyright.

ASSESSED RISK SCORE (considering controls)	Consequence C	Likelihood L	Total* C+L	Total Score:
Revenue, cost or liability	2	3	5	2 – 5 = Low 6 = Moderate 7 = High 8 – 10 = High+
People				
Reputation and Political	3	3	6	
Project performance				

*when scored on more than 1 row, select the highest total as the score